**Frequently Asked Questions**

1. What is a covered person or how do I determine whether an EY professional is a covered person?

2. I performed a search, but no records were found. What should I do?

3. How often is the External Independence System – Security Search updated?

4. I have other questions. Who should I contact?

**1. What is a covered person or how do I determine whether an EY professional is a covered person?**

Covered person is a regulatory term. Discuss with the EY professional in order to determine whether he/she is a covered person.

**2. I performed a search but no records were found. What should I do?**

Search again using the following tips:

1. Search using *Contains.* Using *Contains vs Starts with* will retrieve the string of text from anywhere in the record and is a more thorough means of searching.
2. If you still do not receive results, use the shortest search string in order to obtain maximum number of hits. For example, if you typed in “Summit Technologies Inc” and there are no matching records, search “Summit Tech,” “Summit T,” or “Summit” and review the returned results.
3. Other search Tips:

***Abbreviations***–Many entities are listed with shortened names. For example; “Inc” may be used for Incorporated, “Co” for Company, “Int” or “Intl” for International, “**Bk” for bank, “Mkt” for market, “Cnty” for county**, “U S or US” for United States, etc.

***Numbers***– Search for both “first” and “1st” or “one” and “1”.

***Punctuation*** – Do not use punctuation marks or other characters in search (i.e. . ,\*+; ! /)

***“The”***– “The” may be part of the legal name of the entity. Use the *Contains* search to find the entity name or perform a search with and without “The”.

1. Be sure that the string of characters searched is entered correctly, check spelling and transposed numbers.
2. If you still do not receive results, contact EY at [Ask Independence (ey.com)](https://askindependence.ey.com/) Provide the CUSIP/ISIN number and the name of the security that you would like to have researched. The security will be researched to determine whether it is permitted or restricted. You should receive a reply email in approximately 2-3 business days.

**3. How often is the External Independence System Security Search updated?**

The External Independence System Security Search is updated daily based on the restrictions determined the previous day.

**4. I have other questions. Who should I contact?**

Contact Americas Independence at [Ask Independence (ey.com)](https://askindependence.ey.com/) Provide necessary details. You should receive a reply email in approximately 2-3 business days.